# CIS 315 - Reading Packet: "Order by, group by, and having"

## **SOURCES:**

- \* Oracle9i Programming: A Primer, Rajshekhar Sunderraman, Addison Wesley.
- \* Classic Oracle example tables **empl** and **dept**, adapted somewhat over the years

# more SELECT clauses: ORDER BY, GROUP BY, and HAVING

The SELECT statement can have some additional optional clauses, in addition to the clauses discussed thus far. In this lab, we'll be discussing three such clauses: ORDER BY, GROUP BY, and HAVING.

# **ORDER BY**

As you have written your queries, have you ever wished that the rows in the result would appear in a different order? That's all that ORDER BY does -- it has absolutely no effect on what is stored in the database (since, indeed, a SELECT never effects what is stored in a database), but it does allow the user to specify the order in which he/she would like the resulting rows to be displayed.

This should always be the final clause of a SELECT (and indeed, syntactically, it only belongs on an outer-SELECT - since it is really just specifying a final row-display order, it wouldn't make sense inside of a subselect, if you think about it.) And, in its simplest form, you just follow the ORDER BY by the column (or the projected expression) that you want the rows to be ordered by.

(If you have ever used SORT BY to change the order of rows in an Excel database, it is a similar idea -- you specify the column you want to sort the Excel table's rows by, and then the rows are sorted in order of that column's values.)

For example, say that you want to select all of the rows of the empl table, but displaying those selected rows in order of increasing salary. Then, you'd ORDER BY salary:

```
      select *

      from empl

      order by salary;

      ...resulting in:

      EMPL EMPL_LAST_NAME JOB_TITLE MGR HIREDATE SALARY COMMISSION DEP

      7369 Smith
      Clerk 7902 17-DEC-90
      800
      200

      7900 James
      Clerk 7698 03-DEC-91
      950
      300

      7876 Adams
      Clerk 7788 23-SEP-91
      1100
      400

      7521 Ward
      Salesman
      7698 22-FEB-91
      1250
      500
      300

      7654 Martin
      Salesman
      7698 28-SEP-91
      1250
      1400
      300

      7934 Miller
      Clerk
      7782 23-JAN-92
      1300
      100

      7844 Turner
      Salesman
      7698 08-SEP-91
      1500
      0
      300

      7499 Michaels
      Salesman
      7698 20-FEB-91
      1600
      300
      300

      782 Raimi
      Manager
      7839 09-JUN-91
      2450
      100
```

7698	Blake	Manager	7839	01-MAY-91	2850		300
7566	Jones	Manager	7839	02-APR-91	2975		200
EMPL	EMPL LAST NAME	JOB TITLE	MGR	HIREDATE	SALARY	COMMISSION	DEP
7902	Ford	Analyst	7566	03-DEC-91	3000		200
7788	Scott	Analyst	7566	09-NOV-91	3000		200
7839	King	President		17-NOV-91	5000		500
	2						

14 rows selected.

If you'd like to see the selected rows in order of increasing hiredate,

select \*
from empl
order by hiredate;

or in order of job\_title:

select \*
from empl
order by job\_title;

...and so on. You can see the columns projected from the rows selected in any order that you would like.

Note that what you choose to order by does not affect what \*columns\* are projected, or the order across that the projected columns appear -- that is determined completely by the SELECT clause.

For example, I don't even have to project the column I'm ordering by:

```
select empl_last_name
from empl
order by salary;
```

Here, then, I would get:

```
EMPL LAST NAME
_____
Smith
James
Adams
Ward
Martin
Miller
Turner
Michaels
Raimi
Blake
Jones
EMPL LAST NAME
_____
Ford
```

Scott King

```
14 rows selected.
```

And, just to make sure this is clear: ORDER BY just affects the order that the rows selected by the rest of the SELECT are displayed; if you only select a few rows, then only those rows are in the ordered result:

```
select salary, empl_last_name
from empl
where job_title = 'Manager'
order by empl last name;
```

#### results in:

```
SALARY EMPL_LAST_NAME
2850 Blake
2975 Jones
2450 Raimi
```

#### Multiple attributes in an ORDER BY clause

What happens if you give **multiple** attributes (or expressions) in the ORDER BY clause, separated by commas? Then you are specifying additional ordering information -- you are saying what to sort by in case of TIES in the previous expression(s) given in the ORDER BY.

Say that I want to select all the rows of empl, displaying the rows in order of job\_title, and if they have the same job\_title, display the rows within that job\_title by mgr, and if they have the same job\_title and mgr, display the rows within that job\_title and mgr by hiredate:

select \*
from empl
order by job title, mgr, hiredate;

...and you can see that this is indeed the case in the resulting rows:

EMPL EMPL_LAST_NAME	JOB_TITLE	MGR	HIREDATE	SALARY	COMMISSION	DEP
7788 Scott	Analyst	7566	09-NOV-91	3000		200
7902 Ford	Analyst	7566	03-DEC-91	3000		200
7900 James	Clerk	7698	03-DEC-91	950		300
7934 Miller	Clerk	7782	23-JAN-92	1300		100
7876 Adams	Clerk	7788	23-SEP-91	1100		400
7369 Smith	Clerk	7902	17-DEC-90	800		200
7566 Jones	Manager	7839	02-APR-91	2975		200
7698 Blake	Manager	7839	01-MAY-91	2850		300
7782 Raimi	Manager	7839	09-JUN-91	2450		100
7839 King	President		17-NOV-91	5000		500
7499 Michaels	Salesman	7698	20-FEB-91	1600	300	300
EMPL EMPL_LAST_NAME	JOB_TITLE	MGR	HIREDATE	SALARY	COMMISSION	DEP

7521	Ward	Salesman	7698	22-FEB-91	1250	500	300
7844	Turner	Salesman	7698	08-SEP-91	1500	0	300
7654	Martin	Salesman	7698	28-SEP-91	1250	1400	300

14 rows selected.

You could say that we are displaying the rows in primary order by job\_title, in secondary order by mgr, and in 3rd-level order by hiredate.

### NULL columns and ORDER BY

You might wonder: what happens with NULL columns if you order by those columns?

select empl\_last\_name, job\_title, commission
from empl
order by commission;

Try it, and you'll see that the result is:

EMPL_LAST_NAME	JOB_TITLE	COMMISSION
Turner Michaels Ward Martin King Jones Adams Miller James Scott Blake	Salesman Salesman Salesman President Manager Clerk Clerk Clerk Analyst Manager	0 300 500 1400
EMPL_LAST_NAME Raimi Ford Smith	JOB_TITLE  Manager Analyst Clerk	COMMISSION

14 rows selected.

Similarly, if you perform the query:

select \*
from empl
order by mgr;

...you'll find that the row for President King is the last displayed, as it is the only row containing a value of NULL for mgr.

#### **ORDER BY: DESC option and ASC default**

Have you noticed that all of our orderings have been in ascending order so far? That's the default for

ORDER BY. You can order rows in **descending** order of some expression by writing a blank, and then **DESC**, after the expression you want to order in descending order.

So, if you'd like to select the rows of the empl table, displaying the resulting rows by salary, with the HIGHEST salary first (in descending order of salary), you just write:

```
select *
from empl
order by salary desc;
```

Make sure this is clear: you put DESC after EACH attribute that you want in descending order; if you are specifying secondary or additional orderings, you must put DESC after each expression that you want to be displayed in DESC order. For example, if you are selecting the rows of the empl table and you'd like to display the rows:

- \* in descending alphabetical order by job title,
- \* but for rows with the same job title, in ascending order by mgr,
- \* but for rows with the same job\_title and mgr, in descending order by hiredate,

...you'd put:

```
select *
from empl
order by job title desc, mgr, hiredate desc;
```

And, if you'd like to display those those rows in primary order of increasing salary, and in secondary order of decreasing hiredate, you'd put:

select \*
from empl
order by salary, hiredate desc;

## **ORDER BY style warning**

One final comment with regard to ORDER BY: do not use it in a nested select! First, it is not good style, and second, it doesn't make sense, anyway, if you really think about it. It is only reasonable at the END of a top-level (or "outermost") select. This will be a Course SQL Coding Standard, that ORDER BY clauses must only be given for top-level/"outermost" selects.

For example, then, it will go AFTER and OUTSIDE a nested select (and thus as part of the top-level select):

```
select *
from empl
where salary >
    (select min(salary)
    from empl
    where job_title = 'Manager')
order by salary;
```

# **GROUP BY**

GROUP BY is a clause that takes more effort to get comfortable with than ORDER BY, but allows for some quite nifty queries of your data. GROUP BY provides a way to "group" rows sharing common characteristics, usually so you can perform aggregate function computations on rows within those "groups".

The easiest way to get used to GROUP BY is by example. You already know how to get the average salary of all employees, or for all employees whose job\_title is 'Manager', or for all employees who work in the 'Research' department -- respectively:

But each of these queries returns just a single result, just a single row.

What GROUP BY provides is a way to get computations for different groups of rows from a single query -- if you would like to get the average salary for employees who are Managers, AND for employees who are Clerks, AND for employees who are Salesmen, etc., for all job\_titles, then you GROUP BY job\_title:

```
select avg(salary)
from empl
group by job_title;
```

That is, this says, get the rows of empl, group those rows by job\_title, and project the average salary for each of those groups. So, the above query results in:

You are also allowed to project a column you are grouping by along with any computations on those groups -- so, if you group by job\_title, then you can also project job\_title, if you would like, and result is that you see WHICH job\_title has each average:

select job\_title, avg(salary)
from empl

group by job title;

...resulting in:

JOB_TITLE	AVG(SALARY)
Analyst	3000
Clerk	1037.5
Manager	2758.33333
President	5000
Salesman	1400

Where does GROUP BY "fit" in terms of the SELECT statement syntax?

- \* You still perform any Cartesian products given in the FROM clause first,
- \* and then you select those rows from that Cartesian product that satisfy the condition(s) given in the WHERE clause.
- \* Then, if there is a GROUP BY clause, you group only the selected rows by the expression given in the GROUP BY clause,
- \* and then you project what is specified in the SELECT clause, usually the desired computations for each of those groups, and the expression you are grouping by if desired,
- \* ordering the rows as specified by the ORDER BY clause if it is there!

So, consider this query:

```
select dept_name, avg(salary)
from empl e, dept d
where e.dept_num = d.dept_num
group by dept_name
order by avg(salary);
```

This will:

- \* perform a Cartesian product of the empl and dept tables,
- \* then select those rows of the Cartesian product in which e.dept\_num = d.dept\_num (thus performing an equi-join!),
- \* then, only in the rows for which e.dept\_num = d.dept\_num, it will group the rows by dept\_name,
- \* then it will project the dept\_name and the average salary for each set of rows grouped by dept\_name,
- \* displaying the resulting rows in order of (increasing) average salary.

So, you would see the following:

DEPT_NAME	AVG(SALARY)
Operations	1100
Sales	1566.66667
Accounting	1875
Research	2443.75
Management	5000

What if you would like the minimum and maximum salaries, minimum and maximum hiredates, salary

totals, and number of employees for each value of dept\_num? Then this would do the trick (although I'm projecting this information in a different order than stated above, just to make the point that you can project these columns in any order you want, and I'm happening to order the resulting rows by minimum salary):

```
select count(*), dept_num, min(salary), max(salary), min(hiredate),
max(hiredate), sum(salary)
from empl
group by dept_num
order by min(salary);
```

...resulting in:

COUNT(*)	DEP	MIN(SALARY)	MAX(SALARY)	MIN(HIRED	MAX(HIRED	SUM(SALARY)
4	200	800	3000	17-DEC-90	03-DEC-91	9775
6	300	950	2850	20-FEB-91	03-DEC-91	9400
1	400	1100	1100	23-SEP-91	23-SEP-91	1100
2	100	1300	2450	09-JUN-91	23-JAN-92	3750
1	500	5000	5000	17-NOV-91	17-NOV-91	5000

I cannot stress the following two points enough:

- \* If you want to project MORE than one row in a query involving a projected aggregate function call, then you MUST use GROUP BY; otherwise, you can ONLY get one row in the result.
- \* When you DO use GROUP BY, you get ONE row for EACH value of the attribute(s) or expression(s) you are grouping by. You can only project, then, either computations on the attributes of the rows within each group, or the attribute(s) or expression(s) you are grouping by.

Oracle is a stickler on the second part of that second point -- when using GROUP BY, you really cannot project anything except the expression(s) you are grouping by or aggregate function calls for those groups. (Think about it -- since GROUP BY essentially gives you "one row" per group, what would it mean to try to project another attribute? To project empl\_last\_name when grouping by job\_title?) So, it is an error to try to do so, even if you know that the attribute's value happens to be the same for all rows in a group. For example, this query will FAIL:

...giving the error message:

ERROR at line 1: ORA-00979: not a GROUP BY expression

When you see this error message, chances are good you are using GROUP BY and trying to project something that is not an aggregate function call and not what you are grouping by.

Likewise, this fails with the same error message; even though I know that dept\_name is the same for all rows with a given dept\_num, Oracle doesn't know that:

...giving the error message:

ERROR at line 1: ORA-00979: not a GROUP BY expression

Now, you CAN use multiple expressions after GROUP BY, separated by commas -- when you do that, you will get a group for each distinct collection of values of those expressions. So, you could project both dept num and dept name if you were to group by both dept num and dept name:

...resulting in:

DEP	DEPT_NAME	MIN(SALARY)	MAX(SALARY)	MIN(HIRED	MAX(HIRED
100	Accounting	1300	2450	09-JUN-91	23-JAN-92
200	Research	800	3000	17-DEC-90	03-DEC-91
300	Sales	950		20-FEB-91	
400	Operations	1100	1100	23-SEP-91	23-SEP-91
500	Management	5000	5000	17-NOV-91	17-NOV-91

But remember -- each distinct combination of values of those expressions is considered a separate group:

select job\_title, mgr, avg(salary), count(\*)
from empl
group by job title, mgr;

...resulting in:

JOB_TITLE	MGR	AVG(SALARY)	COUNT(*)
Clerk	7698	950	1
Clerk	7782	1300	1
Clerk	7788	1100	1
Clerk	7902	800	1
Analyst	7566	3000	2
Manager	7839	2758.33333	3
Salesman	7698	1400	4

President 5000 1

Each distinct (job title, mgr) pair is a SEPARATE group, as you can see in the above results.

Finally, it is Course SQL Style Standard that you should only use GROUP BY for a reason (usually, because you want some computation for the rows in each group). If you aren't performing some computation on the rows in each group, do not use group by. In particular, don't use it just to suppress duplicate rows -- that is what DISTINCT is for!

```
-- POOR style: (you will lose points for this!)
select dept name, job title
from empl e, dept d
where e.dept_num = d.dept_num
from
         empl e, dept d
group by dept name, job title;
-- BETTER style:
select distinct dept name, job title
from empl e, dept d
where e.dept_num = d.dept_num;
-- ALSO good:
select dept name, job title, count(*), avg(salary)
         empl e, dept d
from
      e.dept_num = d.dept_num
where
group by dept name, job title;
```

GROUP BY can be part of any select, including a nested select, although you should be careful to use a proper operator in the condition including the nested select in this case. In particular, note that, IF you are using GROUP BY, you can have an aggregate function call whose expression is another aggregate function -- you'd like the minimum of all of the averages, or the count of all of the maximums, or the sum of all of the minimums, etc.

For example, to see which employees make more than or equal to the average salary for any one department (even if not their own), you could write:

```
select empl last name, salary
from
    empl
where salary >=
      (select min(avg(salary))
       from empl
       group by dept num);
```

That subquery would work on its own, too -- if you just want to know the minimum average salary for the employees with the same value of dept num, this would do it:

```
select min(avg(salary))
from empl
group by dept num;
```

#### Do not confuse ORDER BY and GROUP BY!

If you want your resulting rows to be displayed in a certain order, you STILL need ORDER BY -- it is quite common for a query to have both GROUP BY and ORDER BY clauses. Using GROUP BY does not guarantee that the resulting rows will be projected in a certain order; if you want a particular order for the resulting rows of any query, ORDER BY is needed.

So, if I would like various statistics for each department with the resulting rows ordered by minimum salary, this should be used:

Finally, it is important to remember that the selection of rows specified by a WHERE clause is done BEFORE the grouping of the resulting rows specified by a GROUP BY clause. For example, what if you'd like the average salary by department, but only for employees hired after July 15, 1991? Then this will do that, because the selection based on hiredate will be done BEFORE the grouping based on dept\_num:

```
select dept_num, avg(salary), count(*)
from empl
where hiredate > '15-Jul-1991'
group by dept_num
order by count(*);
```

That query's results will be different from the average salary by department overall:

```
select dept_num, avg(salary), count(*)
from empl
group by dept_num
order by count(*);
```

#### HAVING

Our final new SELECT clause for this lab has a direct relationship to the GROUP BY clause. We've discussed how a select statement's WHERE clause lets you specify which rows you want to select. What if, however, you are using GROUP BY, but you don't really want to see the results for all of the groups? What if you only want to see the results for some of the resulting groups?

That's what the HAVING clause lets you do. HAVING is to groups what WHERE is to rows -- it simply gives you a way limit which groups you see in your result.

For example, what if I want dept\_num's and average salaries for employees in each dept\_num, but I'm only interested in dept\_nums with an average salary greater than 1500. You must use HAVING to get this:

select dept\_num, avg(salary)
from empl

group by dept\_num
having avg(salary) > 1500;

And, of course, if you'd like to see those results in order of descending average salary:

```
select dept_num, avg(salary)
from empl
group by dept_num
having avg(salary) > 1500
order by avg(salary) desc;
```

You can limit the groups in your result based on a variety of criteria, BUT those criteria have to be "related" to the group, based on the grouped-by attributes, or on expressions using those attributes, or to computations on the group.

So, to see the department number and average salary of those with that department number, but only for dept num's whose latest employee hiredate is after January 1, 1992:

```
select dept_num, avg(salary)
from empl
group by dept_num
having max(hiredate) > '01-Jan-1992'
order by avg(salary) desc;
```

And, to see the department number and average salary of those with that department number, but only for dept\_num's only for dept\_nums 100, 200, and 300:

```
select dept_num, avg(salary)
from empl
group by dept_num
having dept_num in (100, 200, 300)
order by avg(salary) desc;
```

What if I am interested in the average salaries within each department of employees hired after July 15, 1991, and only for departments with average salary greater than 1500, displaying the resulting rows in order of decreasing average salary?

```
select dept_num, avg(salary)
from empl
where hiredate > '15-Jul-1991'
group by dept_num
having avg(salary) > 1500
order by avg(salary) desc;
```

If we'd like the above, except projecting the department name instead of the department number:

And, a HAVING clause can be as interesting as we'd like...

#### **Distinct with Aggregate Functions**

One final little SQL tidbit: you know that DISTINCT can be used in a SELECT to get a "pure" relational projection, to get a result with no duplicate rows. It turns out that you can use DISTINCT \*within\* an aggregate function call, inside its parentheses, to get that function's results just for each distinct value of that attribute.

For example, this simply counts how many rows of empl have non-NULL values for the attribute job\_title:

```
select count(job_title)
from empl;
```

...which happens to be

If I instead put:

```
select count(distinct job_title)
from empl;
```

...this will instead count how many distinct, or different, job\_titles there are, and since there are 5 different job\_title values amongst the 14 rows of empl, this query returns:

COUNT (DISTINCTJOB\_TITLE)

And this gives you a slightly prettier result:

```
select count(distinct job_title) "How Many Job-titles"
from empl;
```

...resulting in:

How Many Job-titles